

# **AWARD REGULATIONS for Doctor's Degrees of the University of St.Gallen**

of 11 December 2006 (with amendments of 7 May 2012)

Pursuant to Art. 9(1)(a) of the University of St.Gallen Act  
of 26 May 1988<sup>1</sup>

the Board of Governors of the University of St.Gallen  
hereby issues the following Award Regulations:

## **I. General provisions**

Art. 1. <sup>1</sup>These Award Regulations govern the following aspects of  
doctoral studies at the University of St.Gallen:

- a) doctoral programmes,
- b) special organs,
- c) admission,
- d) structure of studies and examinations,
- e) administration and grading of the examinations,
- f) the award of the degree.

Scope of applica-  
tion

Art. 2. <sup>1</sup>Doctoral studies shall provide doctoral students with an ed-  
ucation in the teaching fields of their respective doctoral programmes  
that is close to research and will prepare doctoral students for an aca-  
demic career or for a research-based professional career.

Objectives of  
doctoral studies

## **II. Programmes**

Art. 3.<sup>2</sup> <sup>1</sup>The Schools shall offer the following doctoral programmes:

- a) Management (School of Management);
- b) Economics and Finance (School of Economics);
- c) International Affairs and Political Economy (School of Law, in con-  
junction with the School of Economics);
- d) Organizational Studies and Cultural Theory (School of Humanities, in  
conjunction with the School of Economics);
- e) Law (School of Law);
- f)<sup>3</sup> Finance (School of Finance).

Types, responsi-  
bility

<sup>2</sup>In the case of programmes jointly offered by two Schools, responsi-  
bility for coordination shall be vested in the first-named School.

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<sup>1</sup> No. 217.11, Consolidated Statutes of the Canton of St.Gallen

<sup>2</sup> Amendment by the Board of Governors of the University of St.Gallen of 25  
June 2007

<sup>3</sup> To enter into force as from 1 August 2013.

Art. 4. <sup>1</sup>Program regulations may provide the following tracks: Tracks  
a) preparation for an academic career,  
b) preparation for a research-based professional career.  
<sup>2</sup>The Senate Committee shall regulate changes in tracks.

Art. 5. <sup>1</sup>The programme language shall be German or English. Programme lan-  
guage  
<sup>2</sup>In the main teaching fields, i.e. Management, Economics, Law, and Political Science, students shall be given an opportunity to attend a doctoral programme in the German language. For this reason, an adequate range of programmes shall be offered. However, doctoral students may be obliged to attend individual courses taught in the English language.  
<sup>3</sup>Programme regulations shall stipulate the programme language.  
<sup>4</sup>The Senate Committee shall issue implementation provisions.

### III. Special organs

Art. 6. <sup>1</sup>The School Committee of the School responsible for the doctoral programme shall appoint a Programme Committee. Programme  
Committee  
<sup>2</sup>The Programme Committee shall consist of:  
a) two to three professors or associate professors, one of whom shall serve as the chairperson;  
b) one representative of the junior faculty;  
c) a doctoral student who is an employee of the University.  
<sup>3</sup>The chairperson shall have the casting vote.

Art. 7.<sup>4</sup> <sup>1</sup>Members in accordance with Art. 6(a) shall be elected by the School Committee. Members in accordance with Art. 6(b and c) shall be elected by their respective bodies. 2. Election/term  
of office  
<sup>2</sup>If two Schools offer a doctoral programme jointly, the members pursuant to Art. 6(2)(a) shall be appointed by the School Committee responsible for coordination, such appointment to be approved by the School Committee of the other School.  
<sup>3</sup>The term of office of members pursuant to Art. 6(2)(a) shall be two years; the term of office of any other members shall be governed by the rules applicable to the relevant body.  
<sup>4</sup>Members may be re-elected.

Art. 8. <sup>1</sup>The Programme Committee shall be in charge of the programme. 3. Tasks  
<sup>2</sup>In particular, it shall  
a) be responsible for the substance and organisation of the programme;  
b) advise the Dean of Studies in respect of admission;  
c) make decisions as regards admission in special cases pursuant to Art. 21;  
d) stipulate, in consultation with the Dean of Studies, any additional course work or examinations applicants may have to do or sit, respectively;  
e) recognise course work completed and examinations sat at other universities or comparable institutions;  
f) appoint the Thesis Committees for individual doctoral students;  
g) make decisions as regards admission to a track in accordance with Art. 4(a);

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<sup>4</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

- h) approve the overall grade for individual doctorates;
- i) promote the integration of the programme into the international research community;
- k) organise quality assurance and development for the programme in coordination with the organs responsible for quality development.

<sup>3</sup>Programme regulations may provide for further tasks to be assigned to the Programme Committee.

Art. 9. <sup>1</sup>The Thesis Committee shall consist of at least the Supervisor and the Co-Supervisor.

<sup>2</sup>The Programme Committee may designate further members.

Art. 10. <sup>1</sup>The latest date for the appointment of the Thesis Committee shall be the date on which the doctoral student submits his/her research proposal.

<sup>2</sup>The Thesis Committee may be extended on completion of the colloquium on the research proposal.

Art. 11. <sup>5</sup><sup>1</sup>The following faculty may serve as Supervisors or Co-Supervisors for a thesis:

- a) (full) professors of the University of St.Gallen;
- b) associate professors or habilitated assistant professors of the University of St.Gallen;
- c) habilitated lecturers of the University of St.Gallen;
- d) habilitated lecturers from another university provided they are full-time employees of the University of St.Gallen;
- e) professors emeriti in accordance with letters a) to c) of this Article until the end of the semester in which they have reached the age of 67. Supervisors shall be appointed as from the time at which the student officially commences his/her doctoral studies, i.e. rematriculates.

<sup>2</sup>Supervisors in accordance with letters b) and c) of this Article shall at the time of the official commencement of the student's doctoral studies either be regular employees of the University of St.Gallen or have held a lectureship at the University of St.Gallen in the previous two years.

Art. 12. <sup>6</sup><sup>1</sup>Co-Supervisors shall be designated by the Programme Committee.

<sup>2</sup>Above and beyond the categories listed in Art. 11, it may appoint as Co-Supervisors:

- a) visiting professors in residence;
- b) honorary professors;
- c) professors of other universities who are entitled to supervise doctoral theses at their home universities;
- d) in justified cases, non-habilitated assistant professors and permanent lecturers and lecturers of the University of St.Gallen; in such cases, the lecturers concerned shall hold a doctor's degree and have been teaching at university level for several years.
- e) professors emeriti in accordance with Article 11(a-c) until the end of the semester in which they have reached the age of 67, with the time of the assumption of the co-supervisorship being the decisive date.

<sup>3</sup>Supervisors shall have the right of nomination.

Thesis Committee

1. Make-up

2. Time of appointment

3. Supervisor

4. Co-Supervisor

<sup>5</sup> Amendment by the Board of Governors of the University of St.Gallen of 9 May 2011

<sup>6</sup> Amendment by the Board of Governors of the University of St.Gallen of 9 May 2011

<sup>4</sup>If a Supervisor has been appointed in accordance with Art. 11(b-d), the Co-Supervisor shall be a (full) professor of the HSG.

Art. 13. <sup>1</sup>Qualified persons from academia and practice may be designated as further members of a Thesis Committee.

5. Further members

Art. 14. <sup>1</sup>The following tasks shall be incumbent on a Thesis Committee:

6. Tasks

- a) the overall supervision of an individual thesis, with the personal supervision of the doctoral student(s) being incumbent on the Supervisor;
- b) the assessment of the research proposal and the organisation of the colloquium on the research proposal;
- c) the assessment of the thesis (only by the Supervisor or the Co-Supervisor);
- d) in the case of a research proposal or thesis having to be revised, the stipulation of the changes to be made;
- e) proposal to the Programme Committee concerning the acceptance (with grades), return for revision, or rejection of the thesis.

Art. 15. <sup>1</sup>The Senate Committee shall issue provisions concerning

- a) rights and obligations of the members of Thesis Committees;
- b) the change of Supervisors and/or Co-Supervisors.

7. Implementation provisions

#### IV. Admission

Art. 16. <sup>1</sup>Admission to doctoral programmes shall be granted to applicants who hold a relevant Master's degree from the University of St.Gallen or an equivalent university degree.

Admission  
1. Principles

<sup>2</sup>Applicants who have obtained such a degree from another university shall provide evidence of that fact that their entire education has been equivalent to that required by the University of St.Gallen, particularly with regard to the regular duration of such education, school certificates and earlier university degrees.

<sup>3</sup>If terms and conditions are imposed, applicants shall be provisionally admitted until such terms and conditions are fully satisfied.

<sup>4</sup>The Senate Committee shall regulate equivalence, terms and conditions.

Art. 17.<sup>7</sup> <sup>1</sup>The following applicants shall be unconditionally admitted subject to the provisions of Art. 20:

- a) to the doctoral programme in Management:  
holders of the degree of a Master of Arts in Information, Media and Technology Management, in Marketing, in Services and Communication, in Accounting and Finance, in Banking and Finance or in Strategy and International Management;
- b) to the doctoral programme in Economics and Finance:  
holders of the degree of a Master of Arts in Quantitative Economics and Finance;
- c) to the doctoral programme in International Affairs and Political Economy:  
holders of the degree of a Master of Arts in International Affairs and

2. With a degree from the University of St.Gallen  
a) Programmes according to Art. 3(a-d) and (f)

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<sup>7</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

- Governance, in Economics, in Quantitative Economics and Finance, and in Banking and Finance;
- d) to the doctoral programme in Law:  
holders of the degree of a Master of Arts in Law or in Law and Economics;
- e)<sup>8</sup>to the doctoral programme in Finance:  
holders of the degree of a Master of Arts in Banking and Finance and in Quantitative Economics and Finance.

Art. 18. <sup>1</sup>The doctoral programme in Organizational Studies and Cultural Theory shall be open to all the holders of a Master's degree from the University of St.Gallen. They may be asked to provide supplementary work from the humanities and/or the core subject of the field of the thesis.

b) Programme according to Art. 3(e)

Art. 19.<sup>9</sup> <sup>1</sup>Applicants with university degrees in similar or different subjects obtained from the University of St.Gallen or another university may be admitted to the doctoral programmes pursuant to Art. 3(1)(a-e). In such cases, admission may be subject to supplementary work in the form of course work done and examinations sat at the University of St.Gallen's Master's Level to the extent of a maximum of 18 to 20 credits.

3. With a degree in similar or different subjects

<sup>2</sup>Applicants shall complete such supplementary work by the end of the coursework stage.

<sup>3</sup>The Senate Committee shall regulate the conditions and their organisational implementation.

Art. 20. <sup>1</sup>The following conditions for admission shall apply:

a) degree with a minimum average grade of 5.0;

b) letter of recommendation from the Supervisor;

c) for applicants who have not obtained their degree from the University of St.Gallen: evidence of their ability to take up doctoral studies at the university from which they have obtained their degree.

4. Conditions for admission  
a) In general

<sup>2</sup>Programme regulations may make admission contingent on the passing of standardised tests and evidence of a sufficient command of the English language.

Art. 21. <sup>1</sup>If the average grade is below 5.0, the Supervisor shall submit a reasoned request to the Programme Committee.

b) Special cases

<sup>2</sup>Confirmation by the Programme Committee may be substituted for a letter of recommendation; in such a case, the Programme Committee shall undertake to appoint a Supervisor at a later date.

<sup>3</sup>Programme regulations may provide that confirmation by the Programme Committee has to be submitted by all applicants.

<sup>4</sup>Applicants who are unable to provide evidence of their ability to take up doctoral studies under a different admission regime shall submit at least two letters of recommendation from professors of the university from which they have obtained their degree.

<sup>5</sup>The Senate Committee shall issue implementation provisions.

Art. 22. <sup>1</sup>Applicants shall be admitted to a certain programme in accordance with Art. 3(1).

5. Programme change

<sup>8</sup> To enter into force as from 1 August 2013.

<sup>9</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

<sup>2</sup>In the case of students wanting to change programmes, their admission shall be re-examined.

Art. 23. <sup>1</sup>The decision to admit applicants shall be within the competence of the Dean of Studies in consultation with the chairperson of the Programme Committee concerned.

Admission decision

## V. Structure of studies

Art. 24. <sup>1</sup>Studies shall be structured into a coursework and research.

Coursework and research

Art. 25. <sup>1</sup>Coursework shall consist of  
a) the methodological and specialist courses,  
b) the drafting of the research proposal,  
c) the colloquium on the research proposal.

Coursework

Art. 26. <sup>1</sup>Research shall consist of  
a) the thesis-related seminars,  
b) the writing of the thesis,  
c) the defence of the thesis (including a possible pre-defence).

Research

Art. 27. <sup>1</sup>The coursework stage shall extend to a maximum of four semesters, the thesis stage to a maximum of six semesters.

Duration / deadlines

<sup>2</sup>In individual cases of good reasons, the Programme Committee may prolong such periods of time.

<sup>3</sup>Such periods of time shall commence with the subsequent semester.

<sup>4</sup>The Senate Committee shall regulate the good reasons.

## VI. Coursework

Art. 28. <sup>1</sup>Courses shall serve the reinforcement of academic and methodological skills.

Courses  
1. Purpose and content

Art. 29.<sup>10</sup> <sup>1</sup>During coursework, students shall attend courses extending to a minimum of 8 and a maximum of 48 credits.

<sup>2</sup>One single course shall yield 4 or 6 credits.

<sup>3</sup>Within the meaning of the European Credit Transfer and Accumulation System (ECTS), one credit shall be tantamount to 30 hours' work.

<sup>4</sup>Programme regulations shall stipulate the number and extent of the courses and, possibly, the courses in compulsory and core elective subjects to be attended by all doctoral students.

<sup>5</sup>The School Committee may stipulate specialisations. It shall be incumbent on the Programme Committee to check whether doctoral students have completed the compulsory courses.

2. Extent / credits

Art. 30. <sup>1</sup>The Supervisor may bindingly choose up to half of all the credits to be earned by the doctoral student during coursework. Any courses of the doctoral programme in compulsory and core elective subjects and in specialisations in accordance with Art. 29 shall be deducted from this.

3. Choice

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<sup>10</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

<sup>2</sup>It shall be incumbent on the Supervisor to check whether doctoral students have completed the binding courses.

<sup>3</sup>Any remaining courses shall be chosen by the doctoral students in consultation with the Supervisor.

<sup>4</sup>In cases of doctoral students having been admitted in accordance with Art. 21(2), the Programme Committee shall designate a professorial member to engage in such consultation.

Art. 31.<sup>11</sup> <sup>1</sup>Students may attend two courses from the Master's Level to an extent not exceeding 50% of the overall coursework credits.

<sup>2</sup>Programme regulations shall designate the relevant courses on offer at the Master's Level.

<sup>3</sup>If a student has already successfully completed the relevant courses during the Master's course or within the framework of the admission procedure for doctoral studies at the University of St.Gallen, such courses may be credited.

Art. 32. <sup>1</sup>Courses that were attended at other universities or comparable institutions may be credited.

Art. 33. <sup>1</sup>In the research proposal doctoral students shall describe their thesis project and their methodological approach, as well as presenting initial research work they have already completed.

<sup>2</sup>In the colloquium on the research proposal, doctoral students shall present their thesis project and explain the reasons for their methodological approach.

<sup>3</sup>The colloquium shall be of at least thirty minutes' duration and shall not be public.

<sup>4</sup>The Thesis Committee shall decide whether the research proposal will be accepted.

Art. 34. <sup>1</sup>Students shall complete all the courses within four semesters from commencement of their doctoral studies.

<sup>2</sup>They shall submit the research proposal within these four semesters.

<sup>3</sup>In the case of good reasons, the Programme Committee may extend such deadline by one semester or one year.

<sup>4</sup>A deadline extension by more than one year, particularly in cases of illness, accident or pregnancy, shall be within the Senate Committee's competence. The Senate Committee may delegate such competence to the Dean of Studies.

## VII. Research

Art. 35. <sup>1</sup>Program regulations may provide thesis-related seminars and thesis colloquia extending to a maximum of 24 credits.

One single seminar or colloquium shall yield 4 or 6 credits.

Art. 36. <sup>1</sup>A thesis must be a doctoral student's very own academic achievement and provide evidence of its author's extensive special knowledge and mastery of scientific methodology.

Art. 37. <sup>1</sup>A thesis may be submitted in the form of a self-contained

4. From the Master's Level

5. Crediting of external courses

Research proposal / colloquium on the research proposal

Deadlines

Thesis-related seminars

Thesis  
1. Requirements

2. Form

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<sup>11</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

book (monograph) or individual essays (cumulative thesis).

<sup>2</sup>The Supervisor and the doctoral students shall choose the form jointly.

Art. 38. <sup>1</sup>A cumulative thesis shall consist of at least three essays reflecting the quality standard required by reputed international journals. They may already have been published.

<sup>2</sup>Individual essays may be written in co-authorship.

<sup>3</sup>The Senate shall issue implementation provisions.

3. Cumulative thesis

Art. 39. <sup>1</sup>Theses shall be written in the German or English language.

<sup>2</sup>Any deviations from this rule shall require the Programme Committee's consent.

<sup>3</sup>A thesis that has not been written in its author's native tongue shall be checked for the quality of its language.

<sup>4</sup>The Senate Committee shall issue implementation provisions.

4. Language

Art. 40. <sup>1</sup>A thesis shall contain a declaration that it has been written by its author without any inadmissible assistance.

<sup>2</sup>The author shall further declare that the thesis has not already been submitted to another university.

<sup>3</sup>The Senate shall regulate the addition of appendices and of any further declarations.

5. Further declarations and appendices

Art. 41. <sup>1</sup>The thesis shall be assessed by the Supervisor and the Co-Supervisor.

6. Assessment

Art. 42. <sup>1</sup>The thesis shall be published. Permission for publication shall be granted by the Programme Committee.

<sup>2</sup>The publication of parts of the thesis prior to definitive submission shall be permitted.

<sup>3</sup>The Senate shall issue regulations concerning publication.

7. Publication

Art. 43. <sup>1</sup>The Senate shall issue regulations concerning the possibility of and conditions for writing a joint thesis.

8. Joint thesis

Art. 44. <sup>1</sup>During the defence of their thesis, doctoral students provide evidence of whether they satisfy the requirements imposed on a thesis.

<sup>2</sup>A defence may take place when the written assessments recommend that the thesis be accepted.

<sup>3</sup>Theses are defended before the Thesis Committee, who will award the grade.

<sup>4</sup>The defence of a thesis shall be of at least 60 minutes' duration and shall be public.

<sup>5</sup>The Senate Committee shall regulate the procedure.

Defence

Art. 45. <sup>1</sup>Program regulations may provide pre-defence.

<sup>2</sup>Pre-defences shall take place before the Thesis Committee, shall not be public and shall not be graded.

<sup>3</sup>A pre-defence shall take place after the provisional submission of a thesis. The Thesis Committee may impose conditions; the definitive submission of the thesis shall follow after the required changes have been made.

<sup>4</sup>The Senate Committee shall regulate the procedure.

Pre-defence



Art. 46. <sup>1</sup>A thesis shall be submitted within six semesters after acceptance of the research proposal. This shall also apply to submissions in accordance with Art. 45(3).

Deadlines

<sup>2</sup>Students shall complete the thesis-related seminars prior to the submission of their thesis.

<sup>3</sup>In the case of good reasons, the Programme Committee may extend such deadline by one semester or one year.

<sup>4</sup>A deadline extension by more than one year, particularly in cases of illness, accident or pregnancy, shall be within the Senate Committee's competence. The Senate Committee may delegate such competence to the Dean of Studies.

## VIII. Examination types and grading of the examinations

Art. 47. <sup>1</sup>Examination types for courses in accordance with Arts. 28ff. and Art. 35 shall be:

Examination types for courses  
a) Types

a) individual examinations:

1. written examination,
2. written examination at home,
3. oral examination (including presentation);

b) group examinations:

1. written examination,
2. oral examination (including presentation).

<sup>2</sup>Doctoral students' active participation in class may amount to no more than 20 per cent of the grade.

<sup>3</sup>Programme regulations may provide for more types of examinations.

Art. 48. <sup>1</sup>The examination types for individual courses shall be determined by the member of the faculty in charge of the course.

b) Determination

Art. 49. <sup>1</sup>If programme regulations require courses extending to 20 or more credits during coursework, the examination results arising from such courses shall be graded.

Grading of courses

<sup>2</sup>In programmes with fewer than 20 credits, courses shall only be graded with "pass" or "fail" grades.

Art. 50. <sup>1</sup>The results of the courses in accordance with Arts. 28ff. in consideration of Art. 49(2), and of the thesis and of the defence shall be graded as follows:

Grades

- |     |                        |
|-----|------------------------|
| 6.0 | = excellent            |
| 5.5 | = very good            |
| 5.0 | = good                 |
| 4.5 | = satisfactory         |
| 4.0 | = marginal             |
| 3.5 | = unsatisfactory       |
| 3.0 | = poor                 |
| 2.5 | = poor to very poor    |
| 2.0 | = very poor            |
| 1.5 | = very poor to useless |
| 1.0 | = useless              |

<sup>2</sup>Quarter grades may be awarded for the thesis.

<sup>3</sup>The average of the grades from the courses to be completed during coursework shall be rounded to the nearest quarter grade.

<sup>4</sup>Any grade below 4.0 shall be unacceptable.

<p>Art. 51. <sup>1</sup>Examinations connected with any courses shall be deemed passed if the average of the credit-weighted grades of a requisite course amounts to at least 4.00.</p>	<p>Passes a) Courses</p>
<p><sup>2</sup>If the examinations pursuant to Art. 49(2) are not graded, each of the courses shall have to be completed successfully.</p>	
<p>Art. 52. <sup>1</sup>The research proposal shall not be graded but shall have to be accepted.</p>	<p>b) Research proposal / colloquium on the research proposal</p>
<p><sup>2</sup>The colloquium on the research proposal shall be deemed successful if the research proposal has been accepted.</p>	
<p>Art. 53. <sup>1</sup>The thesis-related seminars shall not be graded but shall have to be completed successfully.</p>	<p>c) Thesis-related seminars</p>
<p>Art. 54. <sup>1</sup>A thesis shall be deemed accepted if it is awarded a grade of at least 4.0.</p>	<p>d) Thesis</p>
<p><sup>2</sup>In cases of small changes having to be made, acceptance of a thesis may be made contingent on conditions.</p>	
<p>Art. 55. <sup>1</sup>The defence shall be deemed successful if it has been awarded a grade of at least 4.00.</p>	<p>e) Defence</p>
<p>Art. 56. <sup>1</sup>If the courses attended during coursework are graded, they shall be weighted at 20 per cent, the thesis at 70%, and the defence at 10%.</p>	<p>f) Doctoral examinations as a whole</p>
<p><sup>2</sup>If the courses attended during coursework are not graded, the thesis shall be weighted at 90% and the defence at 10%.</p>	
<p><sup>3</sup>Doctoral students shall have to pass all the examination parts pursuant to Arts. 51 to 55.</p>	
<p>Art. 57. <sup>1</sup>The following gradings shall be awarded: a) with a grade average of 5.90 to 6.00: with the highest distinction; b) with a grade average of 5.5 to 5.89: very good; c) with a grade average of 5.00 to 5.49: good.</p>	<p>g) Gradings</p>
<p><sup>2</sup>No gradings shall be awarded with a grade average of below 5.00</p>	

**IX. Unsatisfactory work, failed examinations, and work to be repeated**

<p>Art. 58. <sup>1</sup>If courses in accordance with Arts. 28ff are not completed successfully, each course may be repeated once.</p>	<p>Repetition a) Courses in accordance with Arts. 28ff</p>
<p><sup>2</sup>After a course has been repeated, the better of the two grades shall count.</p>	
<p><sup>3</sup>Repetitions shall be possible prior to the completion of all the courses.</p>	
<p><sup>4</sup>Instead of repeating a course, students may complete a different course with at least the same number of credits. If they fail this second course, any repetition shall be precluded. In such a case the better of the two grades from either the original or the substituted course shall count.</p>	
<p><sup>5</sup>If a student has not successfully concluded all courses by the deadline for coursework, all courses shall be deemed to have been failed.</p>	
<p><sup>6</sup>The Senate Committee shall issue implementation provisions concerning the repetition of courses.</p>	

Art. 59. <sup>1</sup>At the first attempt, a research proposal that has not been accepted may be returned for revision or be rejected.

b) Research proposal

<sup>2</sup>At the second attempt, a research proposal that has not been accepted may only be rejected.

<sup>3</sup>A research proposal that has not been submitted in time shall be deemed rejected.

<sup>4</sup>The Thesis Committee shall grant a deadline of one semester or one year for the revision of a research proposal or the submission of a new research proposal.

Art. 60. <sup>1</sup>If doctoral students fail to satisfy the obligation to participate in the thesis-related seminars wholly or in part, the Programme Committee shall determine whether such students will have to attend further seminars or do other additional course work.

c) Thesis-related seminars

<sup>2</sup>If a student has not successfully concluded all the thesis-related seminars by the deadline for the research stage, all thesis-related seminars shall be deemed to have been failed.

<sup>3</sup>The Senate Committee shall issue implementation provisions concerning the repetition of thesis-related seminars and the completion of additional course work.

Art. 61. <sup>1</sup>At the first submission, a thesis that has not been accepted may be returned for revision or be rejected.

d) Thesis

<sup>2</sup>If a pre-defence has been provided for, a thesis that has not been accepted after its final submission may only be rejected.

<sup>3</sup>A thesis that has not been submitted in time shall be deemed rejected.

<sup>4</sup>At the second submission, a thesis that has not been accepted may only be rejected.

<sup>5</sup>The Programme Committee shall grant a deadline of one semester or one year for the revision of a thesis.

Art. 62. <sup>1</sup>Students who fail the defence of their thesis may repeat it once.

e) Defence

Art. 63. <sup>1</sup>Doctoral examinations shall be deemed conclusively failed if

Doctoral examinations conclusively failed

- a) courses in accordance with Arts. 28ff. have not been successfully completed on repetition, or
- b) the revised research proposal has been rejected, or
- c) the thesis-related seminars have not been successfully completed by the end of the deadline for the research stage, or
- d) the thesis has been rejected, or
- e) the defence has not been deemed acceptable at the second attempt.

<sup>2</sup>Students who have conclusively failed the doctoral examination shall not be entitled to admission to any other doctoral programme at the University of St.Gallen.

Art. 64. <sup>1</sup>Students who discontinue doctoral studies on a voluntary basis may be admitted to such studies a second time. They shall have to begin their doctoral programme from the start again. Courses attended during coursework may be credited; any further crediting shall be precluded.

Voluntary discontinuation of doctoral studies

<sup>2</sup>A second discontinuation shall be deemed a conclusive failure.

## X. Award of the degree

Art. 65.<sup>12</sup> <sup>1</sup>Students who have passed the doctoral examination in accordance with Arts. 51ff. shall be awarded a Doctor's degree.

Academic degree  
1. Designation

<sup>2</sup>Successful students of the doctoral programme in

- a) Management shall be awarded the degree of "Doktor oder Doktorin der Wirtschaftswissenschaften" (*doctor* or *doctora oeconomiae*, abbreviation Dr. oec. HSG) or a Doctor of Philosophy in Management (abbreviation Ph.D. HSG);
- b) Economics and Finance shall be awarded the degree "Doktor oder Doktorin der Wirtschaftswissenschaften" (*doctor* or *doctora oeconomiae*, abbreviation Dr. oec. HSG) or a Doctor of Philosophy in Economics and Finance (abbreviation Ph.D. HSG);
- c) International Affairs and Political Economy shall be awarded the degree "Doktor oder Doktorin der Staatswissenschaften" (*doctor* or *doctora rerum publicarum*, abbreviation Dr. rer. publ. HSG) or a Doctor of Philosophy in International Affairs and Political Economy (abbreviation Ph.D. HSG);
- d) Organizational Studies and Cultural Theory shall be awarded the degree "Doktor oder Doktorin der Sozialwissenschaften" (*doctor* or *doctora rerum socialium*, abbreviation Dr. rer. soc. HSG) or a Doctor of Philosophy in Organizational Studies and Cultural Theory (abbreviation Ph.D. HSG);
- e) Law will be awarded the degree "Doktor oder Doktorin der Rechtswissenschaften" (*doctor* or *doctora iuris*, abbreviation Dr. iur. HSG) or a Doctor of Philosophy in Law (abbreviation Ph.D. HSG);
- f)<sup>13</sup> Finance will be awarded the degree "Doktor oder Doktorin der Wirtschaftswissenschaften" (*doctor* or *doctora oeconomiae*, abbreviation Dr. oec. HSG) or a Doctor of Philosophy in Finance (abbreviation Ph.D. HSG).

Art. 66. <sup>1</sup>The degree certificate shall be issued in the language in which the thesis has been written.

2. Degree certificate

<sup>2</sup>If the language of the thesis is neither German nor English, the degree certificate shall be issued in German.

<sup>3</sup>The second designation of the degree in accordance with Art. 65 shall be its official translation.

Art. 67. <sup>1</sup>The Senate shall award the degree at a degree ceremony. The President shall present the degree certificates.

3. Award

<sup>2</sup>Students shall be obliged to attend the degree ceremony. In exceptional cases, the President may exempt students from such obligation.

Art. 68. <sup>1</sup>The degree title may be used after it has been awarded.

4. Use of the degree title

<sup>2</sup>Its translation may also be used; however, the concurrent use of both degrees shall be prohibited.

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<sup>12</sup> Amendment by the Board of Governors of the University of St.Gallen of 25 June 2007

<sup>13</sup> To enter into force as from 1 August 2013.

Art. 69. <sup>1</sup>The Senate Committee may revoke the degree if such degree has been achieved through deception or if essential prerequisites for its award were not satisfied.

Revocation of the degree

## XI. Honorary doctor's degrees

Art. 70. <sup>1</sup>The Senate may award

Prerequisites

- a) the degree of an Honorary Doctor of Philosophy in Management or in Economics and Finance for outstanding services to economic sciences and economic practice,
- b) the degree of an Honorary Doctor of Philosophy in Political Sciences for outstanding services to political sciences and public welfare,
- c) the degree of an Honorary Doctor of Philosophy in Law for outstanding services to the law,
- d) the degree of an Honorary Doctor of Philosophy in Social Sciences for outstanding services to research into society or efforts to solve social problems.

<sup>2</sup>The Senate Committee shall decide to award an honorary degree on the basis of a written and reasoned proposal by a member of the Senate or by a School.

Art. 71. <sup>1</sup>The President shall award honorary degrees on the occasion of a *dies academicus* or another ceremony of the University through the presentation of degree certificates.

Award

## XII. Organisation, quality development

Art. 72. <sup>1</sup>The Senate Committee shall regulate the organisation and administration of lectures, seminars and examinations.

Organisation, administration

Art. 73. <sup>1</sup>The Programme Committee shall be responsible for the quality assurance and the quality development of the doctoral programme in question.

Quality development  
1. Programme Committee

<sup>2</sup>The Programme Committee shall monitor the development of doctoral students, the quality of lectures and seminars, the number and quality of supervised theses, the quality of supervision, the duration of doctoral studies, and the opportunities for doctoral students on the labour market.

<sup>3</sup>The Programme Committee shall take appropriate development measures.

Art. 74. <sup>1</sup>The Quality Development Officer shall monitor the quality development of doctoral programmes, execute periodical programme evaluations, and take appropriate development measures.

2. Quality Development Officer

<sup>2</sup>He/she shall act in consultation with the competent Programme Committee.

## XIII. Procedure and legal protection

Art. 75. <sup>1</sup>Decisions made by the Programme Committee or by the Thesis Committee and which take the form of a notification shall be disclosed by the Dean of Studies.

Notifications

<sup>2</sup>Doctoral students shall be notified of examination results at least once a semester.

Art. 76. <sup>1</sup>Faculty responsible for examinations shall provide students with an opportunity to inspect their examination results and shall give the reasons for the grades.

Inspection

Art. 77. <sup>1</sup>Legal protection shall be governed by the University of St.Gallen Act<sup>14</sup> and the Administrative Jurisdiction Act<sup>15</sup>.

Legal protection

#### **XIV. Data protection**

Art. 78. <sup>1</sup>Personal data may only be used for the execution of statistical longitudinal and cross-section studies of student behaviour and course characteristics and shall be destroyed after such studies have been concluded.

Treatment of personal data

<sup>2</sup>The following data shall be exempt from destruction:

- a) grades and
- b) data which have been anonymised and whose evaluation serves to improve a doctoral programme.

Art. 79. <sup>1</sup>Any persons who collect, evaluate and manage data shall be obliged to maintain secrecy.

Obligation to maintain secrecy

#### **XV. Final provisions**

Art. 80. <sup>1</sup>Program regulations and further implementation provisions shall be issued by the Senate unless these Regulations stipulate that other organs shall do so.

Implementation

<sup>2</sup>The implementation of these Regulations shall be incumbent on the President unless these Regulations stipulate that it shall be incumbent on other organs.

Art. 81. <sup>1</sup>If cooperation with other universities requires deviations from these regulations, such deviations shall be subject to the approval of the University's Board of Governors.

Cooperation with other universities

Art. 82. <sup>1</sup>These Regulations shall be applicable to students who take up their doctoral studies at the University of St.Gallen as from 1. August 2007.

Entry into force

<sup>2</sup>The provisions necessary for admission shall be applicable after these Regulations have been adopted by the Board of Governors of the University.

Art. 83. <sup>1</sup>These Regulations replace the Regulations Governing the Award of Doctorates by the University of St.Gallen of 16 May 1994.

Repeal of previous law

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<sup>14</sup> No. 217.11, Consolidated Statutes of the Canton of St.Gallen

<sup>15</sup> No. 951.1, Consolidated Statutes of the Canton of St.Gallen

On behalf of the Board of Governors of the University of St.Gallen,

The President:

lic. iur. Hans Ulrich Stöckling, Cantonal Minister of Education

The Secretary:

lic. iur. Barbara Fäh Oberholzer, General Counsel

The German text shall prevail in case of doubt.